SEC Form 4	
------------	--

 \square

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL					
OMB Number: 3235-0287					
Estimated average burden					
hours per response:	0.5				

1. Name and Addro KIRK RAN	ess of Reporting Perso DAL J	n*	2. Issuer Name and Ticker or Trading Symbol <u>AquaBounty Technologies, Inc.</u> [AQB]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner
(Last) C/O THIRD SH 1881 GROVE	(First) CURITY, LLC AVENUE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 10/25/2018	Officer (give title Other (specify below) below)
(Street) RADFORD (City)	VA (State)	24141 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	 6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)3. Transaction Code (Instr. 8)4. Securities Acquired (A Disposed Of (D) (Instr. 3, R)) or 4 and 5)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	10/25/2018		x		1,538,461(1)	A	\$2 ⁽¹⁾	8,239,199	Ι	by Intrexon ⁽²⁾
Common Stock								200,519	I	by R.J. Kirk DOT ⁽³⁾
Common Stock								5,775	I	by Third Security ⁽³⁾
Common Stock								4,659	I	by Staff 2001 ⁽³⁾
Common Stock								20	I	by Lotus ⁽³⁾
Common Stock								2,080	I	by JPK 2008 ⁽³⁾
Common Stock								2,095	I	by MGK 2008 ⁽³⁾
Common Stock								1,708	I	by ZSK 2008 ⁽³⁾
Common Stock								10,784	I	by JPK 2009 ⁽³⁾
Common Stock								12,726	I	by MGK 2009 ⁽³⁾
Common Stock								1,132	I	by ZSK 2009 ⁽³⁾
Common Stock								12,617	I	by JPK 2012 ⁽³⁾
Common Stock								2,021	I	by Kellie L. Banks LTT ⁽³⁾
Common Stock								1,770	I	by Senior Staff 2006 ⁽³⁾
Common Stock								885	I	by Staff 2006 ⁽³⁾
Common Stock								295	I	by Incentive 2006 ⁽³⁾
Common Stock								99,537	I	by Kapital Joe ⁽⁴⁾

		٦	Table I - No	on-D	eriva	tive	Secu	irities Ac	quired	l, Dis	sposed c	of, o	r Ben	eficially	Owned				
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transa Code (1 8)	ction Instr.	4. Securiti Disposed	es Acquired (A) or Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)			
							Code	v	Amount		(A) or (D)	Price	Transactior (Instr. 3 and				,		
Common Stock										82,08	33		[]	by Mascara Kaboom ⁽⁴⁾					
Common	Stock														880)			by Sr. Staff ⁽⁴⁾
Common	Stock														3,199) I		by ADC 2010 ⁽⁴⁾
Common	Stock														14,07	76			by MGK 2011 ⁽⁴⁾
Common	Stock														20,306		I S		by Senior Staff 2008 ⁽⁴⁾
Common	Stock														20,30	06			by Staff 2010 ⁽⁴⁾
Common	Stock														10,15	53	:	[]	by Incentive 2010 ⁽⁴⁾
Common	Stock														199,6	82	:	[]	by NRM VI Holdings ⁽⁴⁾
Common	Common Stock													3,63	7	I		by NRM VII Holdings ⁽⁴⁾	
Common	Stock														124,609		I		by TSCP V ⁽⁴⁾
			Table II					ities Acq warrants						ficially O	wned				
1. Title of Derivative	2. Conversion	3. Transaction Date	3A. Deemed Execution D		4. Transa	action	5. N Deri	umber of vative	6. Date E Expiration	Exerci	sable and	7. Tit Secu	tle and Aurities U	Amount of Inderlying	8. Price of Derivative	9. Num derivat	ive	10. Ownerst	
Security (Instr. 3)	or Exercise Price of Derivative Security	(Month/Day/Year)	if any (Month/Day/`	Year)	Code (8)	(Instr.	Acq or D	urities uired (A) isposed of (Instr. 3, 4	(Month/I	Jay/Ye	ear)	Derivativ (Instr. 3 a		ecurity 4)	Security (Instr. 5)	Securitie Benefici Owned Followin Reporte	ially [o ng (Form: Direct (D) or Indirec (I) (Instr.	Beneficial Ownership ct (Instr. 4) 4)
					Code	v	\square	(D)	Date Exercisa		Expiration Date	Title	r	Amount or Number of Shares		Transa (Instr. 4	ction(s)		
Warrant to Purchase Common Stock	\$2 ⁽¹⁾	10/25/2018			x			1,538,461	01/17/20	018	01/17/2023		mon ock ¹	1,538,461	(1))	I	by Intrexon ⁽²⁾
	nd Address of	Reporting Person [*]	1			<u> </u>	1								1				
,																			
	RD SECU		(Midd	le)															
(Street) RADFO	RD	VA	2414	1															
(City)		(State)	(Zip)																
	nd Address of XON CC	Reporting Person [*]	r																
	GAL DEPA ENECA MI	(First) RTMENT EADOWS PARH	(Midd XWAY	le)			~												
(Street) GERMA	NTOWN	MD	2087	<i>'</i> 6															
(City)		(State)	(Zip)																

Explanation of Responses:

1. On October 24, 2018, Intrexon Corporation ("Intrexon") entered into a Warrant Exercise Agreement with the issuer, pursuant to which, on October 25, 2018, Intrexon exercised its warrant to purchase 1,538,461

shares of common stock, for a purchase price of \$3,076,922 or \$2.00 per share, which exercise price was reduced in accordance with the terms of the warrant.

2. Randal J. Kirk, directly and through certain affiliates, is the largest shareholder of Intrexon and serves as Intrexon's Chairman of the Board and Chief Executive Officer. Mr. Kirk may therefore be deemed to have voting and dispositive power over the shares of the issuer owned by Intrexon. Shares held by Intrexon may be deemed to be indirectly beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.

3. Randal J. Kirk controls each of the R.J. Kirk Declaration of Trust "(R.J. Kirk DOT"), Third Security, LLC, ("Third Security"), Third Security Staff 2001 LLC ("Staff 2001"), Lotus Capital (2000) Company Inc. ("Lotus"), JPK 2008, LLC ("JPK 2008"), MGK 2008, LLC ("MGK 2008"), ZSK 2008, LLC ("ZSK 2008"), JPK 2009, LLC ("JPK 2009"), MGK 2009, LLC ("MGK 2009"), ZSK 2009"), JPK 2012, LLC ("JPK 2012"), Kellie L. Banks (2009) Long Term Trust ("Kellie L. Banks LTT"), Third Security Senior Staff 2006 LLC ("Senior Staff 2006"), Third Security Staff 2006 LLC ("Staff 2006"), and Third Security Incentive 2006 LLC ("Incentive 2006"). Shares held by these entities may be deemed to be beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.

4. Randal J. Kirk controls each of Kapital Joe, LLC ("Kapital Joe"), Mascara Kaboom, LLC ("Mascara Kaboom"), Third Security Senior Staff LLC ("Sr. Staff"), ADC 2010, LLC ("ADC2010"), MGK 2011, LLC ("MGK 2011"), Third Security Senior Staff 2008"), Third Security Staff 2010 LLC ("Staff 2010"), Third Security Incentive 2010 LLC ("Incentive 2010"), NRM VI Holdings J, LLC ("NRM VI Holdings") and Third Security Capital Partners V, LLC ("TSCP V"). Shares held by these entities may be deemed to be beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.

/s/ Randal J. Kirk	<u>10/29/2018</u>
<u>/s/ Randal J. Kirk, CEO of</u> Intrexon Corporation	<u>10/29/2018</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.